

Colonel (ret.) JOSEPH H. FELTER III
CURRICULUM VITAE –July 2012

Stanford University
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PROFILE:

Joseph Felter is a Senior Research Scholar at the Center for International Security and Cooperation (CISAC) at Stanford University and Research Fellow at the Hoover Institution. Felter Co-Directs Stanford's Empirical Studies of Conflict Project (ESOC). Prior to arriving at Stanford, Felter served as a career Army Special Forces and Foreign Area Officer with distinguished service in a variety of special operations, diplomatic and other military assignments. He has conducted foreign internal defense and security assistance missions across East and Southeast Asia and has participated in operational deployments to Panama, Iraq, and Afghanistan. As a military attaché to the Philippines he helped develop the country's counterterrorist capabilities and advance the peace process between a major Islamic separatist group. Felter has extensive organizational leadership and program management experience notably helping to build West Point's Combating Terrorism Center into an internationally recognized center of excellence for terrorism education and research. He recently led the International Security and Assistance Force, Counterinsurgency Advisory and Assistance Team deployed throughout Afghanistan reporting directly to General Stanley McChrystal and Gen David Petraeus advising them on counterinsurgency tactics, operations and strategy.

EDUCATION:

Ph.D., Stanford University, Political Science, 2005.

Dissertation: "Taking Guns to a Knife Fight: A Case for Empirical Study of Counterinsurgency."

Dissertation draws on an original 10,000-incident, micro-conflict data set.

Committee: David Laitin, James Fearon, and Simon Jackman.

Graduate Certificate in Management, University of West Australia, 2002.

M.P.A., Harvard Kennedy School, 1998. Methodological Area of Concentration in Negotiations and Conflict Resolution.

B.S., United States Military Academy, 1987.

PROFESSIONAL HISTORY: US Army Officer 1987-2011

2010-2011 Commander, Counterinsurgency Advisory & Assistance Team (CAAT), International Security Assistance Force (ISAF), Afghanistan.

Led international team of 110 senior counterinsurgency advisors deployed across Afghanistan advising and assisting leaders at all levels engaged in counterinsurgency operations. Reported directly to ISAF Commanding General (General Stanley McChrystal and General David Petraeus) providing expertise and advisory support on all counterinsurgency related matters.

2005-2008. Director, Combating Terrorism Center (CTC), West Point, NY.

Recruited, mentored and supervised a select team of scholars researching and publishing on terrorism related issues. Led team in greatly expanding the budget, pace and scope of the center's research and international reach. Initiated an aggressive research program focusing on terrorist

ideology and organization. Initiated and managed the “Harmony Project” – a multi-year collaboration between CTC and US Special Operations Command that harnessed the capacity of leading US and international scholars to analyze captured al-Qa’ida documents from the Defense Department’s Harmony Data Base, producing reports informed by these documents, and making them available to the broader academic and policy community.

Spring-Summer 2008. Balad, Iraq

Deployed to Iraq to serve with a Joint Special Operations Task Force.

Spring-Summer 2006 Defense Attaché Office Kabul, Afghanistan

Deployed to Afghanistan to assess the readiness and training of the Afghan National Police and to provide operational support to the US Defense Attaché’ Office, Kabul. Provided comprehensive report and findings to US Ambassador.

1999-2002. Military Attaché Manila, Philippines

Worked with the Armed Forces of the Philippines Special Operations Command, US DoS Counterterrorism Coordinator and US Special Operations Command Pacific to develop the capacity of the Armed Forces of the Philippines Counterterrorism Forces. Planned and initiated a program that provided negotiation training to the Philippine Government and Moro Islamic Liberation Front peace process panel members. Served as US Embassy Liaison to the Philippine military during two international hostage crises involving American citizens.

1992-1996. Special Forces A-Team Leader and Company Commander – Southeast Asia

Conducted foreign internal defense and security assistance missions with host nation military and internal security forces throughout East and Southeast Asia while leading specialized operational teams and a company in the 1st Battalion, 1st Special Forces Group (Airborne) based in Okinawa, Japan.

1989-1991. Ranger Platoon Leader with the US Army 75th Ranger Regiment

Led elite platoon of US Army Rangers, the US military’s premier strike force capable of projecting power worldwide within 36 hours. Led rangers in combat airborne assault and follow-on missions in support of the invasion of Panama and capture of Manuel Noriega during Operation Just Cause.

TEACHING HISTORY:

Stanford University, Sophomore College Fall 2011. Course taught: “The Face of Battle”

Assistant Professor, Department of Social Sciences, United States Military Academy, 2005 – 2008. Courses taught: International Relations; Advanced International Relations Theory; Terrorism Studies; Advanced Terrorism Studies

Adjunct Associate Professor, School of International & Public Affairs, Columbia University, 2007-2008. Courses Taught: Limited War and Low Intensity Conflict

LANGUAGES:

Defense Language Proficiency Test Ratings in: Dari, Thai, Tagalog, Korean, and German

FELLOWSHIPS, HONORS, AND PROFESSIONAL AFFILIATIONS:

Co-Director, Empirical Studies of Conflict (ESOC) Project. 2008-Present

Research Fellow, Hoover Institution, Stanford University, 2009-Present

US Army War College Fellow and National Security Affairs Fellow, Hoover Institution, Stanford University, 2008-2009

Member, Army Science Board for Terrorism's Root Causes, 2006 – 2007

Senior Service College Fellow, Singapore Command and General Staff College, 2002. Received Singapore Senior Service College annual award for earning the highest academic average in the college's academic curriculum

Harvard-Stanford Preventive Defense Project, Research Associate, 1997 – 1999. Authored “*Understanding and Managing the Taiwan Question: Opportunities for Courtship versus Coercion across the Taiwan Strait.*” under the supervision of Ashton B. Carter which served as a base document for cross-Strait issues for participants in a Track II trip to China and Taiwan in March 1999.

PUBLICATIONS:

“*Can Hearts and Minds be Bought?*” *The Economics of Counterinsurgency in Iraq*,” With Eli Berman and Jacob N. Shapiro. *Journal of Political Economy* (2011) 119, 766-819.

“*Do Working Men Rebel?*” *Insurgency and Unemployment in Afghanistan, Iraq, and the Philippines.*” With Eli Berman, Michael Callen, and Jacob N. Shapiro. *Journal of Conflict Resolution* (2011) 55, 496-528.

“*The Enemy of my Enemy: Al Qaeda and the Libyan Insurgency*,” With Brian Fishman. *Foreign Policy Magazine*, April 2011.

“*Constructive COIN: How Development Can Fight Radicals.*” With Eli Berman and Jacob Shapiro. *ForeignAffairs.com*, June 2010.

“*Iranian Influence in Iraq: Politics and Other Means.*” With Brian Fishman. *Combating Terrorism Center at West Point*, NY, October 2008.

“*Al-Qa’ida’s Foreign Fighters in Iraq: A Second Look at the Sinjar Records.*” In Brian Fishman ed. *Bombers, Bank Accounts, and Bleedout: Al’Qa’ida’s Road in and Out of Iraq.* *Combating Terrorism Center at West Point*, NY, 2008.

“*The Power of Truth: Questions for Ayman al-Zawahiri.*” With Jarret Brachman and Brian Fishman. *Combating Terrorism Center at West Point*, NY, 2008.

“*CTC Report: An Assessment of 516 Combatant Status Review Tribunal (CSRT) Unclassified Summaries.*” With Jarret Brachman. *Combating Terrorism Center at West Point*, NY, 2007.

“*Al-Qa’ida’s Foreign Fighters in Iraq: A First Look at the Sinjar Records.*” With Brian Fishman. *Combating Terrorism Center at West Point*, NY, 2007.

Harmony and Disharmony: Exploiting al-Qa'ida's Organizational Vulnerabilities. Combating Terrorism Center at West Point, NY, 2006. With Jacob N. Shapiro, et. al.

“Recruitment for Rebellion and Terrorism in the Philippines.” In James Forest ed. *The Making of a Terrorist: Recruitment, Training and Root Causes* (Praeger International, 2006).

“*Aligning Incentives to Combat Terrorism.*” In Rohan Gunaratna ed. *Combating Terrorism* (Singapore: Marshall Cavendish Academic, 2005).

WORKING PAPERS:

“Aid Under Fire: Development Projects and Civil Conflict” (Currently under review)
Working paper presented to the NBER annual Economics and National Security Conference July 2011- With Benjamin Crost and Patrick Johnston. We develop a model that predicts how development projects can cause an increase in violent conflict if governments cannot (1) ensure the project's success in the face of insurgent opposition and (2) credibly commit to honoring agreements reached before the start of the project. To test the model, we estimate the causal effect of a large development program on conflict casualties in the Philippines. Identification is based on a regression discontinuity design that exploits an arbitrary poverty threshold used to assign eligibility for the program. Consistent with the model's predictions, we find that eligible municipalities suffered a substantial increase in casualties, which lasts only for the duration of the project and is split evenly between government troops and insurgents.

“Assessing Relevant Lessons from the Soviet Experience in Afghanistan.” Working paper submitted for the 2011 APSA Annual Meeting - With Katya Drozdova. This paper draws on former highly classified documents chronicling the communications and discourse of the Soviet Politburo to reveal important insights into how senior leaders in the Soviet Union assessed the situation in Afghanistan from events leading up to the 1979 invasion and its protracted occupation, through the withdrawal of Soviet forces in 1989 and for nearly three additional years of support to the Soviet installed Afghan government.

“The Effect of Civilian Casualties in Afghanistan and Iraq.” NBER Working Paper 16152 – With Luke N. Condra, Radha K. Iyengar, and Jacob N. Shapiro. This paper focuses on the reaction to civilian casualties in Afghanistan, using a series of analytic comparisons to distinguish between four prominent theories on how civilian casualties may affect violence: revenge, recruitment, population-provided information, and the mechanical correlation between civilian casualties and insurgent group capacity. We find strong evidence of a localized revenge effect and show that this effect was not present in Iraq, suggesting insurgents' mobilizing tools may be quite conflict-specific.

SELECTED PROFESSIONAL TALKS, PRESENTATIONS & AFFILIATIONS:

“Theories of Counterinsurgency.” For the *Terrorism, Governance, and Political Violence Conference*, Institute on Global Conflict and Cooperation (IGCC), University of California San Diego, June 2011.

“Mining Afghan Lessons from the Soviet Experience” presentation of research findings at the Human Social Cultural Behavior Modeling Conference: “Integrating Social Science Theory and Analytic Methods for Operational Use”, February 9-11, 2011

“ISAF Counterinsurgency” formal one hour monthly presentations on the state of counterinsurgency across the force to the Commander, International Security and Assistance Force (COMISAF) and senior ISAF Staff at ISAF Headquarters, Kabul Afghanistan Jan – Dec 2010.

“Counterinsurgency and Counterterrorism.” For *The Political Economy of Terrorism and Insurgency Conference*, Institute on Global Conflict and Cooperation (IGCC), University of California San Diego, June 2009.

“The Philippines: A Template for Effective Counterinsurgency.” For the World Affairs Council of Northern California, June 2009.

“Deploying Effective Counterinsurgency Forces.” Keynote Address for Philippine Army Senior Leaders and Commanders Conference, Fort Bonifacio, Manila Philippines, March 2009.

“Identifying and Responding to Terrorism and Insurgency.” Invited presentation, The Hoover Institution, Stanford University, November 2008.

“Iranian Influence in Iraq.” Invited presentation, The Hoover Institution, Stanford University, November 2008.

“Rethinking the Global War on Terror” panel member with Martha Crenshaw and Thomas Fingar at FSI International Conference: Transitions Nov 13, 2008.

“The Enemy of my Enemy is Iran: Iranian Influence in Iraq.” For *Social Science Seminar*, Center for International Security and Cooperation and The Freeman Spogli Institute for International Studies, Stanford University, October 2008.

“Effective Military Support to Counterinsurgency.” Invited speaker at Yale University Program on Order Conflict and Violence Speaker series, February 2007.

“The Internet: A Portal to Violent Islamist Extremism.” Testimony before the U.S. Senate Committee on Homeland Security and Governmental Affairs, May 2007.

“Assessing Counter Terrorism Policies.” For *International Security Forum Conference*, Zurich, Switzerland, September 2006.

“The Future of the Jihadi Movement.” Panelist with Peter Bergen, Jessica Stern, Barbara Bodine, and David Cook at the Annual Meeting of the American Political Science Association, August 2006

“Taking Guns to a Knife Fight: A Case for Empirical Study of Counterinsurgency.” Presentation at the Annual Meeting of the American Political Science Association, August 2006

Panel Chair and Discussant Annual Meeting of the American Political Science Association, Sept 2005

Frequent appearances and contributions in national and international media outlets, including *The New York Times*, *The Washington Post*, *The Wall Street Journal*, *The Christian Science Monitor*, U.S. New & World Report, Time Magazine, Newsweek, NBC Nightly News, PBS, ABC News and Fox News.

GRANTS:

Department of Defense Minerva Research Initiative, "Terrorism, Governance, and Development," 2008. Co-Principal Investigator, \$9.6M, five years.

SECURITY CLEARANCE:

Top Secret-SCI, 2010

DISCLOSURE FORM FOR WITNESSES
CONCERNING FEDERAL CONTRACT AND GRANT INFORMATION

INSTRUCTION TO WITNESSES: Rule 11, clause 2(g)(5), of the Rules of the U.S. House of Representatives for the 112th Congress requires nongovernmental witnesses appearing before House committees to include in their written statements a curriculum vitae and a disclosure of the amount and source of any federal contracts or grants (including subcontracts and subgrants) received during the current and two previous fiscal years either by the witness or by an entity represented by the witness. This form is intended to assist witnesses appearing before the House Armed Services Committee in complying with the House rule. Please note that a copy of these statements, with appropriate redactions to protect the witness's personal privacy (including home address and phone number) will be made publicly available in electronic form not later than one day after the witness's appearance before the committee.

Witness name: Joseph H. Felter

Capacity in which appearing: (check one)

Individual

Representative

If appearing in a representative capacity, name of the company, association or other entity being represented:

FISCAL YEAR 2011

federal grant(s)/ contracts	federal agency	dollar value	subject(s) of contract or grant
Award # FA9550-09-1- 0314	Air Force Office of Scientific Research (Minerva)	\$15,081.42	insurgency / counter- insurgency

FISCAL YEAR 2010

none

federal grant(s)/ contracts	federal agency	dollar value	subject(s) of contract or grant

FISCAL YEAR 2009

none

Federal grant(s)/	federal agency	dollar value	subject(s) of contract or grant

contracts			

Federal Contract Information: If you or the entity you represent before the Committee on Armed Services has contracts (including subcontracts) with the federal government, please provide the following information:

Number of contracts (including subcontracts) with the federal government:

Current fiscal year (2011): _____;

Fiscal year 2010: _____;

Fiscal year 2009: _____.

Federal agencies with which federal contracts are held:

Current fiscal year (2011): _____;

Fiscal year 2010: _____;

Fiscal year 2009: _____.

List of subjects of federal contract(s) (for example, ship construction, aircraft parts manufacturing, software design, force structure consultant, architecture & engineering services, etc.):

Current fiscal year (2011): _____;

Fiscal year 2010: _____;

Fiscal year 2009: _____.

Aggregate dollar value of federal contracts held:

Current fiscal year (2011): _____;

Fiscal year 2010: _____;

Fiscal year 2009: _____.

Federal Grant Information: If you or the entity you represent before the Committee on Armed Services has grants (including subgrants) with the federal government, please provide the following information:

Number of grants (including subgrants) with the federal government:

Current fiscal year (2011): 1;

Fiscal year 2010: 0;

Fiscal year 2009: 0.

Federal agencies with which federal grants are held:

Current fiscal year (2011): 1- Air Force Office of Scientific Research

Fiscal year 2010: n/a;

Fiscal year 2009: n/a.

List of subjects of federal grants(s) (for example, materials research, sociological study, software design, etc.):

Current fiscal year (2011): insurgency / counterinsurgency

Fiscal year 2010: n/a;

Fiscal year 2009: n/a.

Aggregate dollar value of federal grants held:

Current fiscal year (2011): \$15,081.42;

Fiscal year 2010: 0;

Fiscal year 2009: 0.